

## Effect of Polysorbate 20 on Nucleation Rate of Interferon Beta-1b Aggregation

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## Abstract

The aggregation of protein is the most prevalent and the most disturbing kind of instability and this challenge exists in almost every stage of the development of protein drug. The presence of insoluble aggregations in protein drugs will make the supply of the product a tough job. This study identifies the inhibition of the folded Interferon beta 1-b's aggregation with the assistance of some excipients. It uses some thermal stress and mechanical methods to accelerate the aggregation, and also the spectroscopic method to identify the protein aggregation and its growth. Experimental data of the tests show compliance with the autocatalytic model. This model has been used to obtain the Kinetic constants of aggregation in different states and to make comparison with one another in the presence of some excipients. The kinetic constants were obtained by fitting the Autocatalytic model on data. Among these excipients, Polysorbate 20 of 0.01% (w/v) showed the best result in decreasing the aggregation. Using this excipient of 0.01% (w/v) in thermal stress causes dramatic reduction of nucleation constant from  $8.3 \times 10^{-3}$  ( $\text{min}^{-1}$ ) to  $4.14 \times 10^{-6}$  ( $\text{min}^{-1}$ ), which indicates the reduction of protein aggregation in the solution.

**Keywords:** Aggregation, Interferon beta 1-b, Autocatalytic Model, Polysorbate 20

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## Introduction

Interferon beta-1b (IFN $\beta$ 1b) is a form of interferon beta which has shown biological activity in a variety of in vitro and in vivo systems. Interferon beta belongs to a class of proteins known as interferons (IFNs). Interferons were originally classified based on the cell type from which they were derived [1]. The non-glycosylated IFN $\beta$ 1b (Betaseron®) was the first IFN $\beta$  product approved by (United State Food and Drug Administration) FDA in 1994. The non-glycosylated IFN $\beta$ 1b has an apparent molecular weight of 18.5 kDa. It is produced in Escherichia coli cells, and Cys-17 is mutated to Ser-17 to reduce misfolding and/or aggregation during the refolding process [2].

Aggregates have been observed to form in therapeutic proteins during purification and storage, and the administration of proteins containing aggregates has been shown to stimulate immune responses, causing effects ranging from mild skin irritation to anaphylaxis [3]. Many studies have shown that aggregates in IFN $\beta$ 1b products are a risk factor for immunogenicity [4]. In patients using formulated IFN $\beta$  protein, aggregates are a cause of Neutralizing Antibodies (NABs). The therapeutic effect of IFN $\beta$  is influenced by the formation of Binding Antibodies (BAb) and NABs with negative impact on its bioactivity. Therefore, the aggregation is of great concern affecting the biological activity of IFN $\beta$ s [4].

Furthermore the low solubility of hydrophobic proteins becomes an issue, when the target concentration for the formulation cannot be achieved. Hydrophobic proteins often show limited solubility. For example, solubility of IFN $\beta$ 1b is 0.05 mg/ml at physiological pH [5]. One of the procedures that now are used to increase the solubility of

IFN $\beta$ 1b is the adding Human Serum Albumin (HAS) to its formulation, but presence HAS in the formulation is a problem for development of significant analytical tools to characterize the protein and its degradation products, and furthermore might induce the risk of immunogenicity reactions in the patient. HSA itself exhibits a low risk for immunogenicity, however in presence of a second protein the formation of mixed aggregates can lead to immunogenicity reactions. So the investigation to use some excipients in the formulation can be an effective stage in the development of formulation of IFN $\beta$ 1b without the HAS. In parenteral formulations of hydrophobic protein formulations (such as IFN $\beta$ 1b), non-ionic surfactants, mostly Polysorbate 20 and 80, are frequently used [5]. Surfactants have been used not only to purify, isolate, or solubilize proteins, but also to maintain biological activity by binding to proteins through electrostatic and hydrophobic interactions [4].

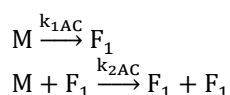
Many nonionic surfactants have been tried or used in protein formulations. These nonionic surfactants have the hydrophobic tails, which can bind to hydrophobic patches on protein surfaces [6]. It has been extensively documented that surfactants suppress protein aggregation against various stresses, including heating and agitation [7]. A commonly used type of nonionic surfactant for this purpose is Polysorbate. Also, Pluronic F-127 was successfully used to decrease the aggregation of some proteins such as Alcohol dehydrogenase [8]. Pluronic F-127 is the block copolymers of polyethylene oxide (PEO) and polypropylene oxide (PPO) represent a class of thermo responsive polymer materials approved by FDA and (United State Environmental Protection Agency) EPA as food additives, pharmaceutical excipients and



agricultural products, which are available in different molecular weights or PPO/PEO ratios [8].

Protein aggregation can occur through a number of distinct mechanisms or pathways. These mechanisms are not mutually exclusive. However, more than one mechanism can occur for the same product. While it is certainly not essential that one understand the aggregation mechanism for a particular protein in order to develop an appropriate manufacturing process, a good formulation, or a method to suppress and remove aggregates, some mechanistic understanding can help point the way to solving aggregation issues (or at least to avoiding excipients and processes that are likely to make things worse) [9].

Understanding the mechanism of aggregation is an important task in manufacturing and developing formulation of IFN $\beta$ 1b. Among different mechanisms and models, the nucleation is a frequent step proposed for the aggregation of proteins. This model holds kinetic physical meanings for nucleation, monomer loss, and fibril growth steps during aggregation [2]. We chose Autocatalytic (AC) model from different kinds of models to describe the aggregation of proteins which is explained by Bernacki *et al* [10]. In the AC reaction pathway, monomer *M* irreversibly converts to *F*<sub>1</sub> with a rate constant of *k*<sub>1AC</sub>. *F*<sub>1</sub> then catalyzes the formation of additional *F*<sub>1</sub> from *M* with a rate constant of *k*<sub>2AC</sub>:



Because these modeling equations will be applied to monomer-loss kinetic data, fibril coalescence steps are not necessary in our formulation. In the AC model, one implicitly assumes that each monomer in a fibril retains its ability to catalyze further fibril formation [9]. The modeling equations for the AC reaction pathway are:

$$\begin{aligned} \frac{d(M)}{dt} &= -k_{1AC}(M) - k_{2AC}(M)(F_1) \\ \frac{d(F_1)}{dt} &= k_{1AC}(M) + k_{2AC}(M)(F_1) \end{aligned} \quad (1)$$

These equations have an analytic solution:

$$\begin{aligned} (M) &= (M)_0 \left( \frac{(k_{1AC} + k_{2AC}(M)_0) \exp[-(k_{1AC} + k_{2AC}(M)_0)t]}{k_{2AC}(M)_0 \exp[-k_{1AC} + k_{2AC}(M)_0 t] + k_{1AC}} \right) \quad (2) \end{aligned}$$

This model also known as Finke-Watzky, was successfully used to fit variety aggregation kinetic data [11]. Morris *et al.*, demonstrated this mechanism as a 2-step mechanism of slow continuous nucleation, (rate constant *k*<sub>1</sub>), followed by typically fast, autocatalytic surface growth (rate constant *k*<sub>2</sub>) [11].

In this study, the suppressing effect of Polysorbate 20, 80 and Pluronic F-127 on the aggregation steps of IFN $\beta$ 1b was inspected. This could help us in developing new formulations without HSA in the near future. Monomer

samples of IFN $\beta$ 1b were induced by heating and shaking to study the effect of excipients on the kinetics of aggregation. The mechanism and the effect of the nucleation step during the aggregation of IFN $\beta$ 1b were considered by the autocatalytic model.

## Materials and Methods

### Materials

IFN $\beta$ -1b with a concentration of 1000  $\mu$ g/mL at pH 10.5-10.6 was a kind gift from Zistdaru Danesh Co. Ltd. Polysorbate 20 and 80 was purchased from Merck, and Pluronic F-127 was purchased from Sigma-Aldrich. One of the negative effects of non-ionic surfactant is the possible increase in the oxidation of proteins catalyzed by the residual alkyl peroxides in them [12]. For these reasons, the quality and storage conditions for non-ionic surfactants need to be well controlled, and their quantity used in a protein formulation needs to be kept at a minimum level, typically in a range between 0.005% and 0.02% [12]. However, in some references there are recommendations to use a specific kind of surfactant in the formulation, for example, between 0.005% and 0.2% [13] or 0.0003, and 0.3% [7] for Polysorbate. In this study, we used the surfactants in a range between 0.005- 0.1% w/v. Protein concentration was determined by UV absorbance measurements at a wavelength of 280 nm with an extinction coefficient of 1.5 [14].

### Inducing Aggregation

Aggregation or association can easily occur under a wide variety of conditions where several factors have an influence on the aggregation rate, including protein concentration, temperature, mechanical stress such as shaking and stirring, pumping, freeze-thaw processes etc [15]. For the development of HSA-free formulations, a combination of various approaches can lead to a successful stabilization of the active protein [16]. Hydrophobic interaction is entropy dependent [2]; thus, an increase in the temperature of the system and the exertion of mechanical stress result in aggregation due to intramolecular interactions between monomer proteins.

### Thermal stress

Exert a thermal stress to a protein solution is a procedure to speed up the aggregation [2]. Although thermally induced denaturation may be reversible for some proteins, most suffer irreversible denaturation and aggregation at high temperatures [17]. In addition to the influence on protein stability, higher temperature increases protein diffusion, frequencies of molecular collisions, and hydrophobic interactions, promoting protein aggregation [18].

For this reason IFN $\beta$ 1b samples with a concentration of 0.4 mg/ml were incubated at the temperature of 70°C and the kinetics of aggregation of IFN $\beta$ 1b were studied in the presence or the absence of Polysorbate 20, Polysorbate 80, and Pluronic F-127.

### Shaking stress

During manufacturing or shipment, proteins endure high mechanical or shear stress through mixing and agitation and are exposed to various interfaces [16]. Aggregates can be formed as a result of protein exposure to hydrophobic

surfaces or air/water interfaces. Since shaking or other types of agitation increases the frequency of surface exposure [18].

In this experiment, the 40% filled vials by IFN $\beta$ 1b samples with a concentration of 0.4 mg/ml were placed horizontally onto the shaker platform and were shaken. Shaking intensity of 150 rpm was used (the unit “rpm” corresponds to “shakes per minute” in our study), and the kinetics of the aggregation of IFN $\beta$ 1b were studied in the presence or the absence of mentioned surfactants.

#### Aggregate Detection

The aggregate form of proteins can be observed as an increase in OD at 360 nm [19] and decrease in OD at 280 nm. The decrease in the OD280 nm means the decrease in monomer concentration of the protein and so aggregation in IFN $\beta$ 1b solutions. To detect the turbidity, caused by the formation of protein aggregates, UV absorbance at wavelength of 360 nm was measured at 25°C in quartz cuvettes using a CECIL CE 1020 spectrophotometer to obtain the concentration of monomer in the samples, each sample was centrifuged at 14,000 rpm, and UV absorbance of the supernatant at 280 nm was read by using Bio-Rad spectrophotometer.

#### Data Analysis and Curve Fitting

MATLAB software version R2014 (8.0.532) (Math Works Inc., MA, USA) was used to numerically determine constant rates of aggregation by fitting experimental data in Eq. 2. Fminuncsolver was used to match the data with autocatalytic model.

## Results and Discussion

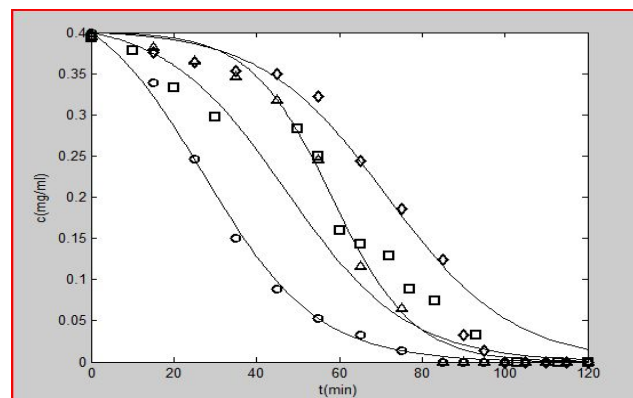
### Effect of excipients on Mechanism of Aggregation of IFN $\beta$ -1b induced from thermal stress

We first explored the influence of heating on IFN $\beta$ 1b aggregation rates. Protein samples of 0.4 mg/ml were kept at 70°C. The data of IFN $\beta$ 1b in the absence of surfactant (which are displayed with  $\circ$  in Fig. 1-3) were matched with an acceptable level to autocatalytic model. Values of  $2.61 \times 10^{-7}$  and 99.09 were obtained for Mean Square Error (MSE) and the coefficient of determination (R<sup>2</sup>). Therefore, this model was used to obtain the kinetic constant of all samples in the experiments.

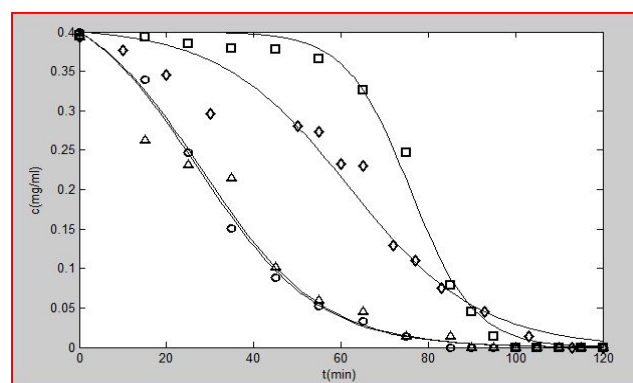
In this study, IFN $\beta$ 1b with and without surfactants, was treated with heat at 70°C to determine the aggregation rate parameters and the effect of different concentrations of Polysorbate 20, Polysorbate 80, and Pluronic F-127. During aggregation, the monomer loss in solution was calculated at different time intervals. UV spectroscopy was used to determine the decrease in monomer concentration. The decrease in the optical density at 280 nm indicating the decrease in the monomer concentration. Experimental data collected during 120 h of incubation was fitted using an autocatalytic model. Samples in the presence of 0.005%, 0.01% and 0.1% of Polysorbate 80 showed more maintenance of monomer concentration in comparison with control samples (Fig. 1). Although it can be said that the concentration of 0.01% of Polysorbate 80 is the best among the other concentrations, but using 0.1% of Polysorbate 80 did not intensify the protein aggregation. However, this event happened in the presence of 0.1%

Polysorbate 20 (Fig. 2. Data that showed by  $\Delta$  symbol). The increase in the aggregation in the presence of 0.1% Polysorbate 20 might be happened due to the formation of micelles in this concentration above the Critical Micelle Concentration (CMC). The micelles might act as “heterogeneous nuclei”. In this assumed mechanism for the formation of aggregates, the critical nucleus (seed) is not a particle made of the product protein but rather a particle of an impurity [9]. So, in this case, lag phase that is derived from nucleation step was not seen. It should be noted that both Polysorbate 20 and 80 have been used at a concentration above their CMC, but the probable engagement of Polysorbate 80 in its binding to the protein or its competition with the protein in order to be adsorbed to the interface, has prevented the formation of micelle of Polysorbate 80 at 0.1% (Fig. 1. Data that showed by  $\Delta$  symbol).

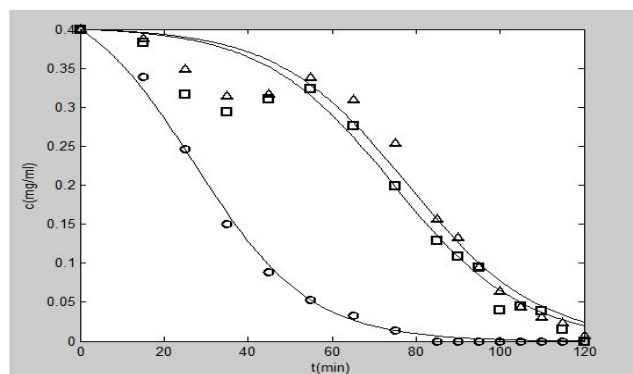
Due to high tendency of Pluronic F-127 to form micelle, this material was used at a concentration below its CMC. According to Fig. 3, there is no significant difference between using 0.01% or 0.005% w/v of Pluronic F-127 in the solution to prevent the protein aggregation.



**Figure 1.** Effect of different concentration of Polysorbate 80 on thermal induced aggregation behavior of rhINF- $\beta$ -1b. Samples without added Polysorbate 80  $\circ$ , with 0.005%  $\square$ , with 0.01%  $\diamond$ , and with 0.1%  $\Delta$ . Lines are the best fit of experimental data to autocatalytic model given by Eq. 2.



**Figure 2.** Effect of different concentration of Polysorbate-20 on thermal induced aggregation behavior of rhINF- $\beta$ -1b. Samples without added Polysorbate-20  $\circ$ , with 0.005%  $\square$ , with 0.01%  $\diamond$ , and with 0.1%  $\Delta$ . Lines are the best fit of experimental data to autocatalytic model given by Eq. 2.



**Figure 3.** Effect of different concentration of Pluronic F127 on thermal induced aggregation behavior of INF- $\beta$ -1b. Samples without added Pluronic F127  $\circ$ , with 0.005%  $\square$ , with 0.01%  $\Delta$ . Lines are the best fit of experimental data to autocatalytic model given by Eq. 2.

The rate constants values of the nucleation and the growth steps of aggregation IFN $\beta$ 1b ( $k_1$ ,  $k_2$ ) with and without presence of mentioned the excipients has been showed at Table. 1. In the presence of 0.01% w/v Polysorbate 20, the nucleation rate constant of IFN $\beta$ 1b was significantly reduced from  $8.7 \times 10^{-3}$  to  $4.14 \times 10^{-6} \text{ min}^{-1}$ . Autocatalytic model can't detect the nucleation rate increase in the presence of 0.1% w/v Polysorbate 20, and the reason might be that the protein aggregation follows another mechanism in this state (likely nucleation-controlled aggregation) [9].

**Table 1.** Nucleation ( $k_1$ ) and Growth ( $k_2$ ) Rate Constants of Aggregation of IFN $\beta$ 1b induced by thermal stress in the Presence of Different Concentrations of Polysorbate 20, Polysorbate 80 and Pluronic F- 127.

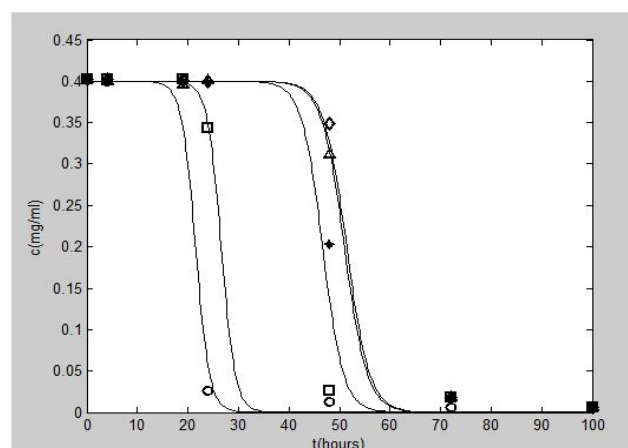
| Concentration             | $k_1 (\text{min}^{-1})$ | $k_2 (\text{mg/ml min}^{-1})$ |
|---------------------------|-------------------------|-------------------------------|
| Control                   | $8.7 \times 10^{-3}$    | $1.61 \times 10^{-2}$         |
| 0.005% w/v Polysorbate 20 | $1.04 \times 10^{-3}$   | $1.65 \times 10^{-2}$         |
| 0.01% w/v Polysorbate 20  | $4.14 \times 10^{-6}$   | $3.42 \times 10^{-2}$         |
| 0.1% w/v Polysorbate 20   | $8.37 \times 10^{-3}$   | $1.65 \times 10^{-2}$         |
| 0.005% w/v Polysorbate 80 | $2.59 \times 10^{-3}$   | $2.65 \times 10^{-2}$         |
| 0.01% w/v Polysorbate 80  | $5.67 \times 10^{-4}$   | $1.64 \times 10^{-2}$         |
| 0.1% w/v Polysorbate 80   | $2.68 \times 10^{-4}$   | $1.62 \times 10^{-2}$         |
| 0.005% w/v Pluronic F-127 | $5.15 \times 10^{-4}$   | $1.60 \times 10^{-2}$         |
| 0.01% w/v Pluronic F-127  | $4.16 \times 10^{-4}$   | $1.60 \times 10^{-2}$         |

### Effect of excipients on the Mechanism of the Aggregation of IFN $\beta$ 1b induced from shaking stress

Since the surfactants can limit protein degradation during processes in which interfaces are created, e.g. liquid/air interfaces [16], and because of the existence of the steps in

developing the formulation of therapeutic proteins, that create such interfaces, we evaluated the effect of mentioned surfactants in the induction solubility under the shaking stress. The same type and size glass containers and filling degree (40%) were set to all samples because the different types of container were induced the different electrostatic interactions between the protein and the surface, and this can lead to the different protein adsorption and formation aggregates [16, 5], and the use of different filling degrees leads to differences in stress intensity with the corresponding influences on protein stability that is related to slow and/or non-turbulent flow of the liquid and headspace as reported by Eppler *et al.*, [18].

As expected, the samples became turbid during shaking due to the formation of large aggregates. The turbidity increased with increasing shaking time. The effect of different concentration of Polysorbate 20, 80 and Pluronic F-127 on IFN $\beta$ 1b aggregation kinetic induced from shaking stress was studied. The results of the experiments and the best fit of experimental data to autocatalytic model given by Eq. 2 are shown at Figure 4. The results show that there is no significant difference between the effect of 0.01% Polysorbate 20, 0.01% Polysorbate 80 and 0.005% Pluronic F-127 regarding the ability to suppress the aggregate formation in IFN $\beta$  -1b solutions of 0.4 mg/ml.



**Figure 4.** Effect of 0.005% Polysorbate- 80  $\square$ , 0.01% Polysorbate- 80  $\diamond$ , 0.01% tween- 20  $\Delta$ , and 0.005% Pluronic F127  $\diamond$ , on shaking induced aggregation behavior of rhINF- $\beta$ -1b. Samples without any added  $\circ$ . Lines are the best fit of experimental data to autocatalytic model given by Eq.1.

The rate constant values of nucleation and growth steps of aggregation IFN $\beta$ 1b ( $k_1$ ,  $k_2$ ) with and without presence of mentioned excipients has been showed at Table 2 by using autocatalytic model to fit and determine the rate constants. By increasing the concentration of Polysorbate 80 from 0.005% to 0.01%, the rate of nucleation rate constant decreases perceptibly. Pluronic F-127 was used at the 0.005% w/v and its concentration was lower than the concentration of Polysorbate 20 and 80 by  $\frac{1}{2}$ , in the sample and showed the same effect in decreasing the nucleation rate.

**Table 2.** Nucleation ( $k_1$ ) and Growth ( $k_2$ ) Rate Constants of Aggregation of IFN $\beta$ 1b induced by shaking stress in the Presence of Different Concentrations of Polysorbate 20, Polysorbate 80 and Pluronic F-127.

| Concentration          | $k_1$ ( $\text{h}^{-1}$ ) | $k_2$ ( $\text{mg/ml h}^{-1}$ ) |
|------------------------|---------------------------|---------------------------------|
| Control                | $1.25 \times 10^{-7}$     | 1.81                            |
| 0.005% pluronic F- 127 | $7.61 \times 10^{-11}$    | 1.21                            |
| 0.005% polysorbate 80  | $3.11 \times 10^{-9}$     | 1.81                            |
| 0.01% polysorbate 80   | $6.61 \times 10^{-11}$    | 1.10                            |
| 0.01% polysorbate 20   | $6.41 \times 10^{-11}$    | 1.11                            |

### Conclusion

In this study the mechanism of aggregation of IFN $\beta$ 1b was determined by a good matching of data with Auto-catalytical model and was used to determine the kinetic rate constants. The process from native protein to physically aggregated protein can be considered as a nucleation step, which is often rate limiting. The constant rate of nucleation step was used as criterion to determine the best excipient and its concentration in the aggregation that induced by thermal and shaking stress. Protein stabilization by nonionic surfactants can often be observed by formulating with micromolar concentrations of surfactant. This is due to the high surface-activity of this class of excipients, which renders a higher effective concentration of surfactant molecules at interfaces than in the bulk solution. We showed that Polysorbate 20 with a concentration of 0.01% w/v was able to slow down IFN $\beta$ 1b aggregation considerably. But using it in higher concentration at 0.1% w/v was caused the more aggregation that might be due to formation of the micelles that can act as heterogeneous nuclei.

The decrease in the nucleation rate constant in the presence of Polysorbate 20 not only means that it can decrease the surface tension of the IFN $\beta$ 1b solution (as a mechanism that was described by Randolph and Hawe but also its ability to decrease the aggregation under both thermal and shaking stress shows the likely effect of Polysorbate 20 in comparison with the IFN $\beta$ 1b to adsorb at interfaces and/or bind to the protein.

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